

# Determinantal identities for doubly refined enumerations of Alternating Sign Matrices

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P. Biane, L.C., A. Sportiello arXiv:1110.2404  
L.C. : to appear (hopefully soon)

*In memory of Alain Lascoux*

# Alternating Sign Matrices

Alternating Sign Matrices were introduced by Robbins and Rumsey in '83, in their modified version of the Dodgson's condensation algorithm for the evaluation of determinants.

$$\det M \det M_{1,n}^{1,n} = \det M_n^n \det M_1^1 - 1 \det M_1^n \det M_n^1$$



The result was (surprisingly) a [Laurent polynomial](#) in entries  $m_{ij}$  :

**Theorem**

[Robbins, Rumsey]

$$\det_{\lambda} M = \sum_{B \in ASM_n} \lambda^{I(B)} (1 + \lambda^{-1})^{N(B)} \prod_{i,j} m_{i,j}^{B_{i,j}}$$

$ASM_n = n \times n$  Alternating Sign Matrices

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The diagram illustrates the Dodgson condensation algorithm for determinants. It shows the equation: a 1x1 matrix with  $\lambda$  multiplied by a 2x2 matrix with  $\lambda$  in the bottom-right corner, equals the sum of two terms. The first term is a 2x2 matrix with  $\lambda$  in the top-left corner multiplied by a 1x1 matrix with  $\lambda$ . The second term is a 2x2 matrix with  $\lambda$  in the bottom-left corner multiplied by a 1x1 matrix with  $\lambda$ . The  $\lambda$  in the second term is red.

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The diagram illustrates the Dodgson condensation algorithm. It shows the product of two 2x2 matrices with  $\lambda$  in the top-left corner, equal to the sum of two products of 2x2 matrices. In the first product, the top-left element is  $\lambda$  and the bottom-right element is  $\lambda$ . In the second product, the top-left element is  $\lambda$  and the top-right element is  $\lambda$ .

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$ASM_n = n \times n$  Alternating Sign Matrices

Alternating Sign Matrices are square  $n \times n$  matrices with entries 0, 1,  $-1$ , such that

- signs  $+1$  and  $-1$  alternate on each row and each column;
- each row and each column sums to 1.

$$\begin{pmatrix} 0 & 1 & 0 & 0 & 0 \\ 1 & -1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 1 & -1 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 \end{pmatrix}$$

The number of  $n \times n$  ASM has a nice factorized formula

**Theorem:** ASM enumerations

[Zeilberger '96]

$$A(n) = \prod_{j=0..n-1} \frac{(3j+1)!}{(n+j)!}$$

Simpler proof by Kuperberg: use equivalence with 6-vertex (see later)

# ASM and Plane Partitions or lozange tilings

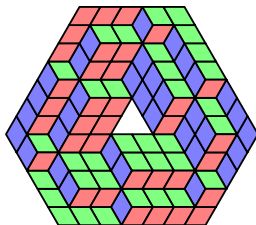
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Alternating Sign Matrices are in deep (and somehow mysterious) relations with other remarkable combinatorial objects:

## *Descending Plane Partitions*

They can be seen as lozange covering of an hexagonal region with a central triangular hole and symmetric under a  $\frac{2\pi}{3}$  rotations.

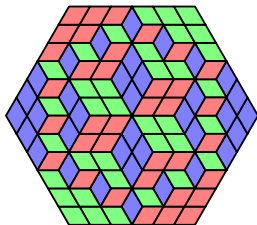


# ASM and Plane Partitions or lozange tilings

Alternating Sign Matrices are in deep (and somehow mysterious) relations with other remarkable combinatorial objects:

## *Totally Symmetric Self-Complementary Plane Partitions*

They are lozange tilings of a regular hexagon, invariants under the whole the dihedral group of symmetries of the hexagon.





# ASM and plane partitions

Combining results from Andrews and Zeilberger it turns out that

## Theorem

$$A(n) = DPP(n) = TSSCPP(2n)$$

- ▶ These equalities extend to certain weighted and refined enumerations (see later).
- ▶ There is no known bijection between these classes of objects. Only partial results: see recent work of Biane and Chebballah for  $ASM \leftrightarrow TSSCP$  and Ayer for  $ASM \leftrightarrow DPP$ .

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# Weighted enumerations

Beside the simple enumeration it is interesting to introduce certain weights:

- ▶  $N(B)$ : number of  $-1$  present in the matrix  $B$
- ▶ Inversion number

$$I(B) := \sum_{\substack{1 \leq i < i' \leq n \\ 1 \leq j' \leq j \leq n}} B_{i,j} B_{i',j'},$$

which generalizes the inversion number of the permutations

Consider the weighted enumeration

$$A(n|\mathcal{T}, \nu) := \sum_{B \in ASM_n} \tau^{N(B)} \nu^{I(B)}.$$

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# Row-column refined enumerations

From the defining properties of an ASM it follows that on the top/bottom rows and left/rightmost columns there is a single 1

$$\begin{pmatrix} 0 & 1 & 0 & 0 & 0 \\ 1 & -1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 1 & -1 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 \end{pmatrix}$$

We can consider ASM enumerations refined by the position of some of these 1s. The simplest one is

**Theorem:** Single refined ASM enumerations [Zeilberger '96]

$$A_j(n) = \frac{(n+j-2)!(2n-j-1)!}{(j-1)!(n-j)!(2n-2)!} A(n-1)$$

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The most general one will be

$$A_{i_t, i_b, j_\ell, j_r}(n)$$

where we specify the positions  $i_t, i_b$  of the top and bottom 1s and  $j_\ell, j_r$  of the leftmost and rightmost 1s

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Consider the doubly refined enumerations  $A_{i,j}^{(RR)}(n)$ ,  $A_{i,j}^{(RC)}(n)$  as matrices

## Theorem

[P. Biane-L.C.-A. Sportiello, L.C.]

$$\det A_{i,j}^{(RR)}(n) = (-A(n))^{n-3}$$

$$\det A_{i,j}^{(RC)}(n) = A^{n-2}(n)$$

- ▶ Notice that while it seems natural to look at  $\det A_{i,j}^{(RR)}(n|\tau, \nu)$ , it doesn't factorize nicely unless  $\tau$  and  $\nu$  satisfy some fancy relation.
- ▶ The approach developed in [BCS] is not general enough to obtain the second identity.



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# Symmetry classes

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Vertically Symmetric Alternating Sign Matrices (VSASM) of size  $2n + 1 \times 2n + 1$

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with its fundamental region.

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Off-Diagonal Symmetric Alternating Sign Matrices (OSASM) of size  $2n \times 2n$

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It turns out that

**Theorem**

[Kuperberg]

$$A^{VS}(2n+1) = A^O(2n) = \prod_{j=0}^{n-1} (3j+2) \frac{(2j+1)!(6j+3)!}{(4j+2)!(4j+3)!}$$

# Double refinements for VSASM and OSASM

For **VSASM**: we call

$$A_{i,j}^{VS}(2n+1)$$

the number of VSASM of size  $2n+1$  such that:

- ▶ the 1 in the leftmost column in position  $i-1$
- ▶ the next to central column has  $j-1$  entries equal to  $-1$ .

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- ▶ The index  $j$  runs from 1 to  $n$
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# Double refinements for VSASM and OSASM

For **OSASM** we just consider the row-column refinement

$$A_{i,j}^{OS}(2n)$$

which consists in fixing the positions of the 1s in the leftmost column and in the bottom row

$$\begin{pmatrix} 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & -1 & 0 & 1 \\ 0 & 1 & -1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \end{pmatrix}$$

# Determinant of doubly refined VSASM and OSASM enumerations

**Theorem**

[L.C.]

$$\det A_{i,j}^{VS}(2n+1) = (-A^{VS}(2n-1))^{n-3}$$

$$\det A_{i,j}^{OS}(2n) = (-A^{OS}(2n-2))^{3n-6}$$

These two identities and the ones related to the non symmetric ASM will be deduced from two general determinantal identities and not from a case by case analysis.

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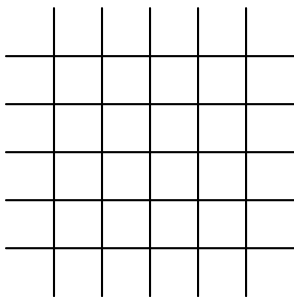
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## 6-Vertex model with domain wall boundary conditions

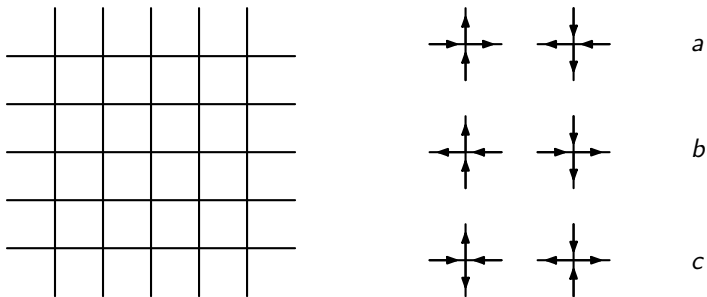


- ▶ Configurations of the 6-vertex model with domain wall boundary conditions are in bijection with ASM.
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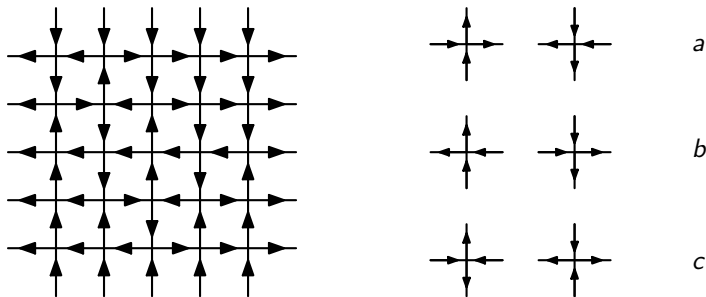


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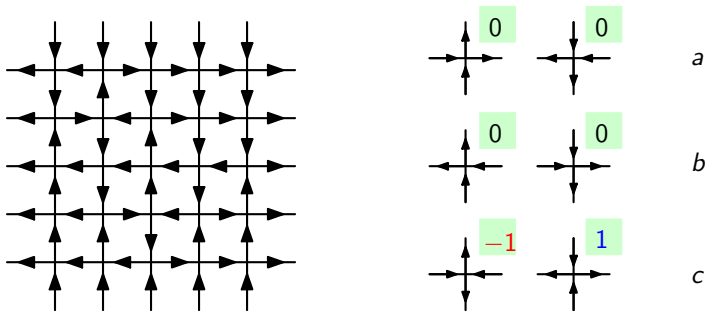


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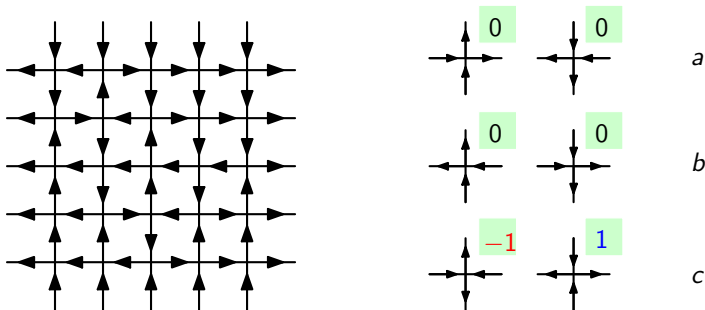


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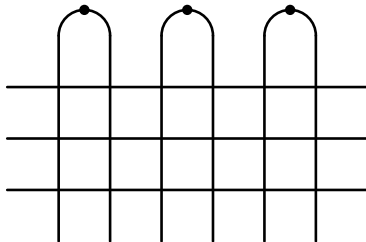
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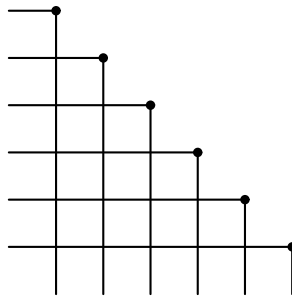
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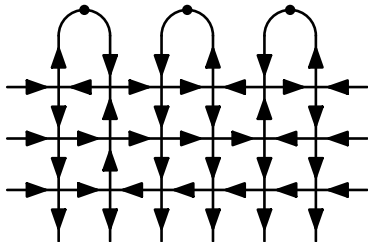
USASM



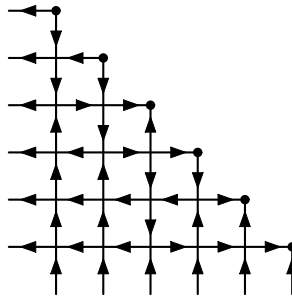
OSASM

## 6 vertex for USASM and OSASM

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USASM



OSASM



# Partition functions

$$Z_n(q; \mathbf{z}; \mathbf{w}) = \frac{\prod_{1 \leq i, j \leq n} M(z_i, w_j)}{\Delta(\mathbf{z})\Delta(-\mathbf{w})} \det \left( \frac{c(z_i, w_j)}{M(z_i, w_j)} \right)_{1 \leq i, j \leq n}$$
$$Z_{2n}^U(q; \mathbf{z}; \mathbf{w}) = \frac{\prod_{i=1}^n \omega_n(z_i, w_i) \prod_{1 \leq i, j \leq n} M^U(z_i, w_j)}{\Delta(\mathbf{z} + \frac{1}{\mathbf{z}})\Delta(-\mathbf{w} - \frac{1}{\mathbf{w}})} \det \left( \frac{c(z_i, w_j)}{M^U(z_i, w_j)} \right)_{1 \leq i, j \leq n}$$
$$Z_{2n}^O(q; \mathbf{z}) = \frac{\prod_{1 \leq i < j \leq 2n} M^O(z_i, z_j)}{\Delta(\mathbf{z})} \text{Pf} \left( \frac{c(z_i, z_j)(z_i - z_j)}{M^O(z_i, z_j)} \right)_{1 \leq i, j \leq 2n}$$

With

$$M(z, w) = a(z, w)b(z, w)$$

$$M^U(z, w) = a(z, w)b(z, w)a(x^{-1}y^{-1}, 1)b(x^{-1}y^{-1}, 1)$$

$$M^O(z, w) = a(zw, 1)b(zw, 1)$$

$$\omega_n(z, w) = w^n(\beta - \beta^{-1}w^{-1})(q^2 - q^{-2}z^2)$$

# Partition functions and generating functions

The generating function of **row-row doubly refined** ASM

$$\mathcal{A}_n^{(R,R)}(\tau, \lambda, x, y) = \sum_{1 \leq i, j \leq n} A_{i,j}^{(R,R)}(n|\tau, \lambda) x^{i-1} y^{j-1}$$

can be read from the partition function of the 6-vertex models upon specialization of some of the spectral parameters

$$\mathcal{A}_n(\tau, \lambda, x, y) = \left( \frac{b(1, t)a(1, t)}{b(z_1, t)a(z_n, t)} \right)^{n-1} \frac{Z_n(q, z_1, \mathbf{1}, z_n; t \mathbf{1})}{c(z_1, t)c(z_n, t)c(1, t)^{n-2}b(1, t)^{n^2-n}}$$

with

$$\begin{aligned} \tau &= \frac{c^2(1, t)}{b^2(1, t)}, & \lambda &= \frac{a^2(1, t)}{b^2(1, t)} \\ x &= \frac{a(z_1, t)b(1, t)}{a(1, t)b(z_1, t)}, & y &= \frac{b(z_n, t)a(1, t)}{b(1, t)a(z_n, t)} \end{aligned}$$

# Modified partition functions and generating functions

In order to extract the generating function of **row-column doubly refined ASM** from the partition function one need to discard the contribution from the ASMs in which the 1 is in the corner

$$\begin{aligned}\mathcal{A}_n^{(R,C)}(\tau, \lambda, x, y) &= \sum_{1 \leq i, j \leq n} A_{i,j}^{(R,C)}(n|\tau, \lambda) x^{i-1} y^{j-1} \\ &= A(n-1|\tau, \lambda) + xy \tilde{\mathcal{A}}_n^{(R,C)}(\tau, \lambda, x, y)\end{aligned}$$

$\tilde{\mathcal{A}}_n^{(R,C)}(\tau, \lambda, x, y)$  is directly related to a partition restricted to the 6-vertex configurations with a vertex of type "a" in the corner

$$Z_n(q; \mathbf{z}; \mathbf{w}) = Z_n^c(q; z_1; \mathbf{z}; w_1; \mathbf{w}) + a(z_1, w_1) Z_n^{(R,C)}(q; z_1; \mathbf{z}; w_1; \mathbf{w})$$

$$\tilde{\mathcal{A}}_n^{(R,C)}(\tau, \lambda, x, y) \propto Z_n^{(R,C)}(q; z_1; \mathbf{1}; w_1; t\mathbf{1})$$

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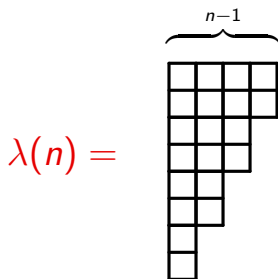
## Partition functions: combinatorial point

At the combinatorial point  $q = e^{i\pi/3}$  the partition functions become symmetric in the joint set of variables  $\mathbf{z}, \mathbf{w}$ , and equal to a (Symplectic) Schur polynomial

$$Z_n(q = e^{i\pi/3}; \mathbf{z}; \mathbf{w}) = S_{\lambda(n)}(\mathbf{z}, \mathbf{w})$$

$$\frac{Z_{2n}^U(q = e^{i\pi/3}; \mathbf{z}; \mathbf{w})}{\prod_{i=1}^n w_i^n \omega(z_i, w_i)} = Z_{2n}^O(q = e^{i\pi/3}; \mathbf{z}) = S_{\lambda(n)}^{\text{Sympl}}(\mathbf{z}, \mathbf{w})$$

corresponding to the double stair Young diagram



# Generalized Gaudin polynomials

To a pair of polynomials in two variables  $P(x, y)$ ,  $Q(x, y)$  we associate a family of polynomials that we call

## Generalized Gaudin Polynomials

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First introduce the polynomials

$$\tilde{\mathcal{G}}_{n,P,Q}^{(\alpha)}(x; \mathbf{z}; y; \mathbf{w}) = Q(x, y) (\det p_\alpha)^{n-1} \prod_{j=1}^{n-1} \tilde{P}(x, w_j) \tilde{P}(z_j, y) \mathcal{G}_{n-1,P,Q}(\mathbf{z}; \mathbf{w}),$$

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# Partition functions as Gaudin polynomials

Apart for some trivial factors the partition functions of the 6–vertex model on graphs corresponding to ASM, USASM (and OSASM at the combinatorial point) are Gaudin polynomials

$$Z_n(q; \mathbf{z}; \mathbf{w}) \propto \mathcal{G}_{n, M, 1}(\mathbf{z}; \mathbf{w})$$

$$Z_{2n}^U(q; \mathbf{z}; \mathbf{w}) \propto \mathcal{G}_{n, M+(q^2-q^{-2})^2, 1}(\mathbf{z} + \mathbf{z}^{-1}; \mathbf{w} + \mathbf{w}^{-1})$$

$$Z_n^{(R, C)}(q; x; \mathbf{z}; y; \mathbf{w}) \propto \mathcal{G}_{n, M, 1}^{(\alpha)}(\mathbf{z}; \mathbf{w})$$

$$Z_{2n+1}^{\text{OSASM}, (R, C)}(q = e^{i\pi/3}; x; \mathbf{z}; y; \mathbf{w}) \propto \mathcal{G}_{n, \tilde{M}+(q^2-q^{-2})^2, 1}^{(\alpha)}(x; \mathbf{z}; y; \mathbf{w})|_{q=e^{i\pi/3}}$$

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# Gaudin Polynomials and Schur functions

Using some identities due to Okada one finds

$$\mathcal{G}_{n, U_{s+2}, U_{r+1}}(\mathbf{z}; \mathbf{w}) = S_{\lambda(n, s, r)}(\mathbf{z}, \mathbf{w})$$

$$\mathcal{G}_{n, \tilde{U}_{s+2}, \tilde{U}_{r+1}}(\mathbf{z}; \mathbf{w}) = S_{\lambda(n, s, r)}^{\text{Symp}l}(\mathbf{z}, \mathbf{w})$$

with  $U_m(x, y) = \frac{x^m - y^m}{x - y}$  the Chebyshev polynomial of second type,

$\tilde{U}_m(x, y) = U_m(x, y)U_m(xy, 1)$ ,  $r \leq s$  and

$$\lambda(n, s, r) =$$

The diagram shows a Young diagram for the partition  $\lambda(n, s, r)$ . The top row has  $(n-1)s$  squares. The second row has  $(n-1)s - 1$  squares. The third row has  $(n-1)s - 2$  squares. This pattern continues until the  $r$ -th row, which has  $(n-1)s - (r-1)$  squares. From the  $(r+1)$ -th row to the  $s$ -th row, the number of squares in each row is constant and equal to  $(n-1)s - (r-1)$ . The total number of rows is  $s$ . Brackets indicate that the top row has length  $(n-1)s$ , the first  $r$  rows have a total width of  $r$ , and the bottom  $s$  rows have a total width of  $s$ .

- ▶ The Gaudin polynomials with  $Q = 1$  and  $P(x, y) = \prod_{\alpha=0}^{s-1} (x - t^\alpha y)$  have been studied by Lascoux and proven to be given by determinant of certain Schur functions.
- ▶ The Gaudin polynomial corresponding to the 6-V partition function ( $P(x, y) = M(x, y)$ ) is diagonal in the basis of MacDonal polynomials ( $t \leftrightarrow q$ ) [Kirillov-Noumi, Warnaar]

$$\prod_{1 \leq i, j \leq n} \frac{(q x_i y_j; t)_\infty}{(x_i y_j; t)_\infty} \mathcal{G}_{n, M, 1}(\mathbf{z}; \mathbf{w}) = \sum_{\lambda} b_{\lambda}(t, q) g_{\lambda}(q; t, q) P_{\lambda}(x; t, q) P_{\lambda}(y; t, q)$$

- ▶ The Gaudin polynomials with  $Q = 1$  and  $P(x, y) = \prod_{\alpha=0}^{s-1} (x - t^\alpha y)$  have been studied by Lascoux and proven to be given by determinant of certain Schur functions.
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# Determinants of Gaudin Polynomials

Call  $s = \min\{s_x, s_y\}$

## Theorem

Let  $\{x_i, y_i\}_{1 \leq i \leq m}$  be indeterminates. The polynomial

$$\det(\mathcal{G}_{n,P,Q}(x_i, \mathbf{z}; y_i, \mathbf{w}))_{1 \leq i, j \leq m}$$

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If  $P(x, y)$  is divided by  $p_\alpha(x, y)$ , then

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# Sylvester identity

Consider a  $(n + r) \times (n + r)$  matrix  $A$  with a  $n \times n$  minor  $B$

$$A = \left( \begin{array}{c|c} & \\ \hline & B \\ & \end{array} \right) \left. \vphantom{\begin{array}{c|c} & \\ \hline & B \\ & \end{array}} \right\} n$$

$\underbrace{\hspace{10em}}_r \quad \underbrace{\hspace{5em}}_n$

For  $1 \leq i, j \leq r$ , consider the minor  $A(i, j)$  formed by  $B$ , the  $i$ th column and the  $j$ th row, and denote its determinant by  $a_{i,j} = \det A(i, j)$ .

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The diagram shows a large matrix  $A$  enclosed in large parentheses. A vertical dashed line is labeled  $i$  at the top, and a horizontal dashed line is labeled  $j$  on the right. Below the matrix, a bracket under the first  $r$  columns is labeled  $r$ , and a bracket under the next  $n$  columns is labeled  $n$ . A red shaded square labeled  $B$  is located in the bottom-right corner of the matrix, within the  $n$ -column bracket. A large curly brace on the right side of the matrix is labeled  $n$ .

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# Determinants of Gaudin Polynomials

Assume now that  $s_x = s_y = s$  and  $r_x = r_y = r$  and call

$$\mathcal{D}_{n,P,Q}(\mathbf{z}; \mathbf{w}) := \frac{\det(\mathcal{G}_{n,P,Q}(\mathbf{z}, x_i; \mathbf{w}, y_j))_{1 \leq i, j \leq (s-1)(n-1)+r+1}}{\Delta(\mathbf{x})\Delta(\mathbf{y})},$$
$$\mathcal{D}_{n,P,Q}^{(\beta)}(\mathbf{z}; \mathbf{w}) := \frac{\det(\mathcal{G}_{n,P,Q}^{(\beta)}(x_i; \mathbf{z}, y_j; \mathbf{w}))_{1 \leq i, j \leq (s-1)(n-1)+r}}{\Delta(\mathbf{x})\Delta(\mathbf{y})}.$$

We can provide explicit formulae for these functions.

For  $1 \leq \alpha \leq s$ , call  $\phi_\alpha(y)$  the zeros of  $P(x, y)$  as a polynomial in  $x$ ,  $\psi_\alpha(x)$  the zeros of  $P(x, y)$  as a polynomial in  $y$  and write

$$P(x, y) = P_1(x) \prod_{\alpha=1}^s (y - \phi_\alpha(x)) = P_2(y) \prod_{\alpha=1}^s (x - \psi_\alpha(y))$$

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# Determinants of Gaudin Polynomials

## Theorem

$$\mathcal{D}_{n,P,Q}(\mathbf{z}; \mathbf{w}) = k_{n,P,Q} \left( \prod_{1 \leq i < j \leq n-1} G_{n,P}^{(1)}(z_i, z_j) G_{n,P}^{(2)}(w_i, w_j) \right)$$

$$\left( \prod_{j=1}^{n-1} \tilde{G}_{n,P,Q}^{(1)}(z_j) \tilde{G}_{n,P,Q}^{(2)}(w_j) \right) \left( \prod_{1 \leq i, j \leq n-1} P^{s-1}(z_i, w_j) \right) \mathcal{G}_{n-1,P,Q}^{m-s-1}(\mathbf{z}; \mathbf{w})$$

$$\mathcal{D}_{n,P,Q}^{(\beta)}(\mathbf{z}; \mathbf{w}) = k_{n,P,Q}^{(\beta)}(\mathbf{z}, \mathbf{w}) \left( \prod_{1 \leq i < j \leq n-1} G_{n,\tilde{P}}^{(1)}(z_i, z_j) G_{n,\tilde{P}}^{(2)}(w_i, w_j) \right)$$

$$\left( \prod_{j=1}^{n-1} \tilde{G}_{n,\tilde{P},Q}^{(1)}(z_j) \tilde{G}_{n,\tilde{P},Q}^{(2)}(w_j) \right) \left( \prod_{1 \leq i, j \leq n-1} p_{\beta}(z_i, w_j) P^{s-2}(z_i, w_j) \right) \mathcal{G}_{n-1,P,Q}^{m-s-1}(\mathbf{z}; \mathbf{w})$$

with  $m = (s-1)(n-1) + r + 1$ .

$$G_{n,P}^{(1)}(z_i, z_j) = \frac{P_1^s(z_i)P_1^s(z_j) \prod_{1 \leq \alpha, \beta \leq s} \psi_\alpha(z_i) - \psi_\beta(z_j)}{(z_i - z_j)^s}$$

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These are polynomials of  $z$ s and  $w$ s.

$$k_{n,P,Q} = (-1)^{s(n-1)} \det Q_{i,j} (\det P_{i,j})^{n-1}$$

$k_{n,P,Q}^{(\beta)}(z, w)$  is a polynomial of degree  $r$  in  $z_i$  and  $w_j$ , that I cannot pin down, unless  $Q(x, y) = 1$ .

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